



The Consent Order included an Attachment A listing accounts for which the asset freeze would be extended. Attachment A included a Vanguard brokerage account (-1044) in the name of Robert K. Lu, former counsel to the Fund. Two days before the Commission initiated this action, on or about June 16, 2021, \$10,000,000 in Fund assets were deposited into Robert K. Lu's Vanguard (-1044) account from the Fund's bank account (-4905) at Wells Fargo, which is also listed on Attachment A and subject to the asset freeze. By Consent Order dated June 29, 2021 [ECF No. 28], the \$10 million received from the Fund was transferred to another Vanguard account in Robert K. Lu's name (-0829), and the asset freeze was extended to that account.

Robert K. Lu and the undersigned parties hereby consent to return the \$10 million in Fund assets from Vanguard account (-0829) to the Fund's Wells Fargo bank account (-4905) from which they were transferred, and from which the assets are expected to be distributed in connection with an anticipated settlement between the Commission and the Fund.

**WHEREFORE, IT IS HEREBY ORDERED,** that the asset freeze issued by the Court on June 18, 2021 [ECF No. 4], as amended on June 24 and June 28, 2021 [ECF Nos. 27, 29], is hereby temporarily lifted for the limited purpose of allowing \$10,000,000 (USD), plus any interest accrued, to be transferred from the Vanguard brokerage account in the name of Robert K. Lu (-0829) to the Wells Fargo bank account in the name of the Fund (-4905) from which the funds were transferred on or about June 16, 2021.

Robert K. Lu and Vanguard are hereby directed to transfer \$10,000,000, plus any accrued interest, from Vanguard account (-0829) to Wells Fargo Account (-4905) within three business days of the date of this Order, and to provide a written confirmation to SEC counsel (*KisslingerP@sec.gov*) when that transfer has taken place.

The Order to Show Cause, asset freeze, and other relief entered by the Court on June 18, 2021 [ECF No. 4], as amended, shall otherwise remain in full effect pending further order of the Court.

SO AGREED:

/s Robert K. Lu

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Dated: December 20, 2021

/s Paul W. Kisslinger

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Dated: December 20, 2021

/s Jessica Magee

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*Counsel for Joint Official Liquidators of Income  
Collecting 1-3 Months T-Bills Mutual Fund (in Official Liquidation)*

Dated: December 20, 2021

**SO ORDERED:**

Dated: January 11, 2022



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HONORABLE RONNIE ABRAMS  
UNITED STATES DISTRICT JUDGE